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- 2 standards in-use is limited to the first three years after introduction of a vehicle).
- (2) For HLDTs and MDPVs certified prior to the 2013 model year, the Tier 2 HLDT/MDPV evaporative emissions standards in Table S04-3 of §86.1811-04(e) shall apply to in-use vehicles for only the first three model years after an evaporative family is first certified to the HLDT/MDPV evaporative emission standards in Table S09-1 of paragraph (e) of this section, as shown in Table S09-5. For example, evaporative families first certified to the HLDT/ MDPV standards in Table S09-1 in the 2012 model year must meet the Tier 2 HLDT/MDPV evaporative emission standards (Table S04-3) in-use for 2012, 2013, and 2014 model year vehicles (applying Tier 2 standards in-use is limited to the first three years after introduction of a vehicle).

TABLE S09-4—SCHEDULE FOR IN-USE LDV/ LLDT DIURNAL PLUS HOT SOAK EVAPORATIVE EMISSION STANDARDS

| Model Year of Introduction                                  | 2009 | 2010 | 2011 |  |  |
|---|------|------|------|--|--|
| Models Years That Tier 2 Standards Apply to In-use Vehicles | 2009 | 2010 | 2011 |  |  |
|   | 2010 | 2011 | 2012 |  |  |
|   | 2011 | 2012 | 2013 |  |  |

TABLE S09-5—SCHEDULE FOR IN-USE HLDT/MDPV DIURNAL PLUS HOT SOAK EVAPORATIVE EMISSION STANDARDS

| Model Year of Introduction                                  | 2010 | 2011 | 2012 |
|---|------|------|------|
| Models Years That Tier 2 Standards Apply to In-use Vehicles | 2010 | 2011 | 2012 |
|   | 2011 | 2012 | 2013 |
|   | 2012 | 2013 | 2014 |

 $[72\ FR\ 8562,\ Feb.\ 26,\ 2007;\ 72\ FR\ 13352,\ Mar.\ 21,\ 2007,\ as\ amended\ at\ 76\ FR\ 39521,\ July\ 6,\ 2011]$ 

#### § 86.1811-10 Emission standards for light-duty vehicles, light-duty trucks and medium-duty passenger vehicles.

Section 86.1811–10 includes text that specifies requirements that differ from \$\\$86.1811-04 and 86.1811-09. Where a paragraph in \$86.1811-04 or \$86.1811-09 is identical and applicable to \$86.1811-10, this may be indicated by specifying the corresponding paragraph and the statement "[Reserved]. For guidance see \$86.1811-04" or "[Reserved]. For guid-

ance see §86.1811-09." Where a corresponding paragraph of §86.1811-04 or §86.1811-09 is not applicable, this is indicated by the statement "[Reserved]"

- (a) [Reserved]. For guidance see \$86.1811-09.
- (b) through (d) [Reserved]. For guidance see §86.1811-04.
- (e) [Reserved]. For guidance see \$86.1811-09.
- (f) [Reserved]. For guidance see §86.1811-04.
- (g) Cold temperature exhaust emission standards. (1) Cold temperature CO standards. These cold temperature CO standards are applicable only to gasoline fueled LDV/Ts and MDPVs. Cold temperature CO exhaust emission standards apply over a useful life of 50,000 miles or 5 years (whichever occurs first) as follows:
- (i) For LDVs and LDT1s, the standard is 10.0 grams per mile CO.
- (ii) For LDT2s, LDT3s and LDT4s, and MDPVs, the standard is 12.5 grams per mile CO.
- (iii) These standards do not apply to interim non-Tier 2 MDPVs.
- (2) Cold temperature NMHC standards. Full useful life fleet average cold temperature NMHC standards are applicable only to gasoline fueled LDV/LLDTs and HLDT/MDPVs, and apply equally to certification and in-use except as otherwise specified in paragraph (u) of this section for in-use standards for applicable phase-in models. Testing with other fuels such as E85, or testing on diesel vehicles, is not required. Multifuel, bi-fuel or dual-fuel vehicles must comply with requirements using gasoline only. For LDV/LLDTs, the useful life is 120,000 miles or 10 years, whichever comes first. For HLDT/MDPVs, the useful life is 120,000 miles or 11 vears, whichever comes first. There is not an intermediate useful life standard for cold temperature NMHC stand-
- (i) The standards are shown in the following table:

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TABLE S10-1—FLEET AVERAGE COLD TEM-PERATURE NMHC FULL USEFUL LIFE EX-HAUST EMISSION STANDARDS

| Vehicle weight category  | Cold tempera-<br>ture NMHC<br>sales-weighted<br>fleet average<br>standard<br>(grams/mile) |
|--|---|
| LDVs & LLDTs (≤6,000 lbs GVWR)                                 | 0.3   |
| HLDTs (>6,000-8,500 lbs GVWR) & MDPVs (>8,500-10,000 lbs GVWR) | 0.5   |

- (ii) The manufacturer must calculate its fleet average cold temperature NMHC emission level(s) as described in §86.1864–10(m).
- (iii) During a phase-in year, the manufacturer must comply with the fleet average standards for the required phase-in percentage for that year as specified in paragraph (g)(3) of this section, or for the alternate phase-in percentage as permitted under paragraph (g)(4) of this section.
- (iv) For model years prior to 2010 (LDV/LLDTs) and 2012 (HLDT/MDPVs), where the manufacturer desires to bank early NMHC credits as permitted under §86.1864–10(o)(5), the manufacturer must achieve a fleet average standard below the applicable standard. Manufacturers must determine compliance with the cold temperature NMHC fleet average standard according to §86.1864–10(o).
- (3) Phase-in of the cold temperature NMHC standards. Except as permitted in §86.1811-04(k)(5)(vi) and (vii) regarding small volume manufacturers, manufacturers must comply with the phase-in requirements in Tables S10-2 and S10-3. Separate phase-in schedules are provided for LDV/LLDTs and for HLDT/MDPVs. These requirements specify the minimum percentage of the manufacturer's LDV/LLDT and HLDT/ MDPV 50-State sales, by model year, that must meet the fleet average cold temperature NMHC standard for their full useful lives. LDVs and LLDTs must be grouped together to determine compliance with these phase-in requirements, and HLDTs and MDPVs must also be grouped together to determine compliance with these phase-in requirements. Tables S10-2 and S10-3 follow:

TABLE S10–2—PHASE-IN PERCENTAGES FOR LDV/LLDT COLD TEMPERATURE NMHC RE-OUIREMENTS

| Model year | Percentage of<br>LDV/LLDTs<br>that must meet<br>requirement |
|------------|---|
| 2010       | 25<br>50<br>75<br>100                                       |

TABLE \$10-3—PHASE-IN PERCENTAGES FOR HLDT/MDPV COLD TEMPERATURE NMHC REQUIREMENTS

| Model year          | Percentage of<br>HLDT/MDPVs<br>that must meet<br>requirement |
|---------------------|--|
| 2012                | 25   |
| 2013                | 50   |
| 2014                | 75   |
| 2015 and subsequent | 100  |

(4) Alternate phase-in schedules for cold temperature NMHC standards. (i) Manufacturers may apply for alternate phase-in schedules that would still result in 100% phase-in by 2013 and 2015, respectively, for LDV/LLDTs and HLDT/MDPVs. An alternate phase-in schedule submitted by a manufacturer is subject to EPA approval. The alternate phase-in will not be used to delay full implementation past the last year of the primary phase-in schedule (2013 for LDV/LLDTs, 2015 for HLDT/ MDPVs). An alternate phase-in schedule will be acceptable if it satisfies the following conditions (where API = Anticipated Phase-In percentage for the referenced model year):

LDV/LLDTs:

```
\begin{array}{l} (6 \times API_{2008}) \ + \ (5 \times API_{2009}) \ + \ (4 \times API_{2010}) \ + \\ (3 \times API_{2011}) \ + \ (2 \times API_{2012}) \ + \\ (1 \times API_{2013}) \ \geq 500\%, \ and \ (6 \times API_{2008}) \ + \\ (5 \times API_{2009}) \ + \ (4 \times API_{2010}) \ \geq 100\% \end{array}
```

HLDT/MDPVs:

```
\begin{array}{l} (6 \times API_{2010}) \ + \ (5 \times API_{2011}) \ + \ (4 \times API_{2012}) \ + \\ (3 \times API_{2013}) \ \ + \ \ (2 \times API_{2014}) \ \ + \\ (1 \times API_{2015}) \ \ge 500\%, \ and \ (6 \times API_{2010}) \ + \\ (5 \times API_{2011}) \ + \ (4 \times API_{2012}) \ \ge 100\%, \\ or \end{array}
```

```
\begin{array}{l} (6 \times API_{2010}) \ + \ (5 \times API_{2011}) \ + \ (4 \times API_{2012}) \ + \\ (3 \times API_{2013}) \ \ + \ \ (2 \times API_{2014}) \ \ + \\ (1 \times API_{2015}) \ge 600\% \end{array}
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(ii)(A) For LDV/LLDTs, if the sum of products in paragraph (g)(4)(i) of this section is greater than or equal to

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500%, which is the sum of products from the primary phase-in schedule  $(4 \times 25\% + 3 \times 50\% + 2 \times 75\% + 1 \times 100\% =$ 500%), then the alternate phase-in schedule is acceptable, except as prohibited in paragraphs (g)(4)(i) and (iii) of this section. In addition, manufacturers electing to use an alternate phase-in schedule for compliance with the cold temperature NMHC exhaust emission standards must ensure that the sum of products is at least 100% for model years 2010 and earlier for LDV/ LLDTs. For example, a phase-in schedule for LDV/LLDTs of 5/10/10/45/80/100 that begins in 2008 would calculate as  $(6\times5\%) + (5\times10\%) + (4\times10\%) = 120\%$  and would be acceptable for 2008-2010. The full phase-in would calculate as (6×5%)  $+ (5 \times 10\%) + (4 \times 10\%) + (3 \times 45\%) + (2 \times 80\%)$  $+ (1 \times 100\%) = 515\%$  and would be acceptable for 2008-2013.

(B) For HLDT/MDPVs, if the sum of products in paragraph (g)(4)(i) of this section is greater than or equal to 500%, which is the sum of products from the primary phase-in schedule  $(4 \times 25\% + 3 \times 50\% + 2 \times 75\% + 1 \times 100\% =$ 500%), then the alternate phase-in schedule is acceptable, except as prohibited in paragraphs (g)(4)(i) and (iii) of this section. In addition, manufacturers electing to use an alternate phase-in schedule for compliance with the cold temperature NMHC exhaust emission standards must ensure that the sum of products is at least 100% for model years 2012 and earlier for HLDT/ MDPVs. Alternately, if the sum of products is greater than or equal to 600%, then the alternate phase-in schedule is acceptable, except as prohibited in paragraphs (g)(4)(i) and (iii) of this section. If the sum of products is greater than or equal to 600%, then there are no requirements on the sum of products for model years 2012 and earlier.

(iii) Under an alternate phase-in schedule, the projected phase-in percentage is not binding for a given model year, provided the sums of the actual phase-in percentages that occur meet the appropriate total sums as required in the equations of paragraph (g)(4)(i) of this section, and provided that 100% actual compliance is reached for the appropriate model year, either

2013 for LDV/LLDTs or 2015 for HLDT/MDPVs.

- (5) Manufacturers must determine compliance with required phase-in schedules as follows:
- (i) Manufacturers must submit information showing compliance with all phase-in requirements of this section with their Part I applications as required by §86.1844(d)(13).
- (ii) A manufacturer electing to use any alternate phase-in schedule permitted under this section must provide in its Application for Certification for the first year in which it intends to use such a schedule, and in each succeeding year during the phase-in, the intended phase-in percentages for that model year and the remaining phase-in years along with the intended final sum of those percentages as described in paragraph (g)(4)(i) of this section. This information may be included with the information required under §86.1844-01(d)(13). In its year end annual reports, as required under §86.1844-01(e)(4), the manufacturer must include sufficient information so that the Administrator can verify compliance with the alternate phase-in schedule established under paragraph (g)(4)(i) of this section.
- (6)(i) Sales percentages for the purpose of determining compliance with the phase-in of the cold temperature NMHC requirements must be based upon projected 50-State sales of LDV/LLDTs and HLDT/MDPVs of the applicable model year by the manufacturer to the point of first sale. Such sales percentages must be rounded to the nearest 0.1 percent.
- (ii) Alternatively, the manufacturer may petition the Administrator to allow actual volume produced for U.S. sales to be used in lieu of projected U.S. sales for purposes of determining compliance with the phase-in percentage requirements under this section. The manufacturer must submit its petition within 30 days of the end of the model year. For EPA to approve the use of actual volume produced for U.S. sales, the manufacturer must establish to the satisfaction of the Administrator, that actual production volume is functionally equivalent to actual sales volume of LDV/LLDTs and HLDT/ MDPVs sold in all 50 U.S. States.

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- (h) through (s) [Reserved]. For guidance see \$86.1811-04.
- (t) [Reserved]. For guidance see \$86.1811-09.
- (u) Cold temperature NMHC exhaust emission in-use standards for applicable phase-in models. An interim full useful life in-use compliance standard is calculated by adding 0.1 g/mi to the FEL to which each test group is newly cer-

tified, and applies to that test group only for the model years shown in Tables S10–4 and S10–5. Otherwise, the inuse standard is the certification standard from paragraph (g)(2) of this section. The standards apply for purposes of in-use testing only and does not apply to certification or Selective Enforcement Auditing. Tables S10–4 and S10–5 follow:

TABLE S10-4-IN-USE STANDARDS FOR APPLICABLE PHASE-IN LDV/LLDTS

| Model Year of Introduction                                 | 2008                         | 2009                         | 2010                         | 2011                 | 2012                 | 2013         |
|--|------------------------------|------------------------------|------------------------------|----------------------|----------------------|--------------|
| Models years that the interim in-use standard is available | 2008<br>2009<br>2010<br>2011 | 2009<br>2010<br>2011<br>2012 | 2010<br>2011<br>2012<br>2013 | 2011<br>2012<br>2013 | 2012<br>2013<br>2014 | 2013<br>2014 |

TABLE S10-5-In-USE STANDARDS FOR APPLICABLE PHASE-IN HLDT/MDPVs

| Model Year of Introduction                                 | 2010                         | 2011                         | 2012                         | 2013                 | 2014                 | 2015         |
|--|------------------------------|------------------------------|------------------------------|----------------------|----------------------|--------------|
| Models years that the interim in-use standard is available | 2010<br>2011<br>2012<br>2013 | 2011<br>2012<br>2013<br>2014 | 2012<br>2013<br>2014<br>2015 | 2013<br>2014<br>2015 | 2014<br>2015<br>2016 | 2015<br>2016 |

[72 FR 8564, Feb. 26, 2007]

### § 86.1811-17 Exhaust emission standards for light-duty vehicles, lightduty trucks and medium-duty passenger vehicles.

(a) Applicability and general provisions. This section describes exhaust emission standards that apply for model year 2017 and later light-duty vehicles, light-duty trucks, and medium-duty passenger vehicles. MDPVs are subject to all the same provisions of this section that apply to LDT4. Some of the provisions of this section also apply to heavy-duty vehicles as specified in §86.1816. See §86.1818 for greenhouse gas emission standards. See §86.1813 for evaporative and refueling emission standards. This section may apply to vehicles from model years earlier than 2017 as specified in paragraph (b)(11) of this section.

- (b) Tier 3 exhaust emission standards. Exhaust emissions may not exceed the Tier 3 exhaust emission standards, as follows:
- (1) Measure emissions using the chassis dynamometer procedures of 40 CFR part 1066, as follows:

- (i) Establish appropriate load settings based on loaded vehicle weight (see §86.1803).
- (ii) Use appropriate driving schedules. Measurements involve testing over multiple driving schedules. The Federal Test Procedure (FTP) is based on testing with the Urban Dynamometer Driving Schedule (UDDS). The Supplemental Federal Test Procedure (SFTP) involves testing with the UDDS, the US06 driving schedule, and the SC03 driving schedule. See 40 CFR 1066.801 for further information on these test cycles.
- (iii) Calculate SFTP emissions as a composite of test results over the driving schedules identified in paragraph (b)(1)(ii) of this section based on the following calculation:

SFTP (g/mi) = 
$$0.35 \times \text{FTP} + 0.28 \times \text{US06} + 0.37 \times \text{SC03}$$

- (A) For test vehicles that do not have air conditioning, you may omit SC03 testing. To calculate composite SFTP emissions for such vehicles, use FTP emission results to substitute for the SC03 value in the equation.
- (B) You may also use FTP emission results to substitute for the SC03 value